

ANNUAL SWMP REVIEW AND ASSESSMENT CHECKLIST

Municipality: _____

Permit #: _____

Date of Review: _____

Permit Year: _____

Attendees

Primary Activities conducted during the permit year

Description of perceived SWMP implementation progress

Items checklist for review

- | | |
|--|---|
| <input type="checkbox"/> SWMP | <input type="checkbox"/> SWMP is current |
| <input type="checkbox"/> PEOP | <input type="checkbox"/> PEOP is current |
| <input type="checkbox"/> PIPP | <input type="checkbox"/> PIPP is current |
| <input type="checkbox"/> IDD&E Plan | <input type="checkbox"/> IDD&E Plan is current |
| <input type="checkbox"/> Construction Run-off Control Plan | <input type="checkbox"/> Const. Run-off Control Plan is current |
| <input type="checkbox"/> PCSM Plan | <input type="checkbox"/> PCSM Plan is current |
| <input type="checkbox"/> O&M Plan | <input type="checkbox"/> O&M Plan is current |
| <input type="checkbox"/> Current 303(d) list | |

SWMP GOALS (individual sheets for each goal)

SWMP Goal	Location in SWMP Section 500-3
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Summary of activities conducted for goal facilitation (SWMP processes, MCMs, etc.)

Primary results of activities

Interim milestone met? Yes No	If no, reasoning and follow-up actions required
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Influence of results on the SWMP or elements of the SWMP

Goal Status (circle one) (see Section 500-5) Maintain Modify Eliminate	Rationale On attached sheet
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Goal Achieved Yes No N/A	If yes, new goal required? Yes No	New goal focal point(s)
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Follow-up Actions

SWMP GOALS (individual sheets for each goal)

SWMP Goal	Location in SWMP Section 500-3
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Summary of activities conducted for goal facilitation (SWMP processes, MCMs, etc.)

Primary results of activities

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Influence of results on the SWMP or elements of the SWMP

Goal Status (circle one) (see Section 500-5) Maintain Modify Eliminate	Rationale On attached sheet
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Follow-up Actions

SWMP GOALS (individual sheets for each goal)

SWMP Goal	Location in SWMP Section 500-3
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Primary results of activities

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Influence of results on the SWMP or elements of the SWMP

Goal Status (circle one) (see Section 500-5) Maintain Modify Eliminate	Rationale On attached sheet
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Goal Achieved Yes No N/A	If yes, new goal required? Yes No	New goal focal point(s)
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Follow-up Actions

OVERVIEW OF DISCHARGES TO IMPAIRED WATERS

Impairments on current 303(d) list?	Changes from previous 303(d) list?	If a change, describe
Yes No	Yes No	

TMDL(s) in place for waterways?	TMDL Plan developed or needed?	Follow-up actions
Yes No	Yes No	

Description (if any) of changes to impairments and/or TMDLs with associated waterways listed on receiving waterbodies list at the end of Section 300

Pollutants of Concern (Section 500-2) include impairments and/or TMDLs?	If no, reasoning and/or corrective actions
Yes No	

Discharges include impairment parameters?	Describe location(s) of discharges
Yes No	

Describe measures and BMPs implemented to control polluted discharges

Describe measures and BMPs planned for implementation for upcoming permit year

Follow-up Actions and Schedule

SWMP REVIEW

Section 100 (SWMP Certifications and Approval)

Monthly program reviews up to date?	If not, reasoning and corrective action required	
Yes No		

Legal Authority still valid?	If not, corrective action required and schedule	
Yes No		

Changes required and/or needed to Section 100?	If yes, rationale and schedule	
Yes No		

Section 200 (Amendments)

Amendments issued during permit year?	Log reflects issued amendments?	If not, corrective action needed
Yes No	Yes No	

Did any amendments replace a BMP or similar?	Does the amendment(s) provide an analysis of why the previous BMP was ineffective?	PADEP approval required for BMP change?
Yes No	Yes No	Yes No

Does the amendment(s) describe expectations of the replacement BMP(s)?	If not, corrective action and schedule
Yes No	

Have requirements and/or components of the SWMP been updated?	If not, corrective action and schedule
Yes No	

Section 300 (Introduction and Township Description)

SWMP Purpose and Objectives still valid? Yes No	If not, corrective action and schedule
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Major changes to the geographic description? Yes No	If yes, describe and required follow-up actions
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Urbanized Area boundary change? Yes No	If yes, required follow-up action and schedule
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If additional areas added, actions and schedule to incorporate new area(s) into the SWMP	
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Significant change(s) within an MS3? Yes No	If yes, follow-up action and schedule (if not completed)
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Priority MS3s identified? Yes No	Notes
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System Map(s) up to date? Yes No	If not, corrective action and schedule
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Verify the map reflects all known outfalls, system comp., and receiving waterways Yes No	Notes
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<p>Upcoming year map updates required?</p> <p>Yes No</p>	<p>Reasoning and/or update focus</p>
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<p>Has the designated use of any waterbodies changed?</p> <p>Yes No</p>	<p>If yes, describe</p>
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<p>Follow-up actions and schedule to address designated use changes</p>	
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<p>Has the status of any waterways changed to an Existing Use?</p> <p>Yes No</p>	<p>If yes, describe</p>
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<p>Follow-up actions and schedule to address Existing Use classifications (including anti-degradation policy considerations)</p>	
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<p>Are there any new defined receiving waterways?</p> <p>Yes No</p>	<p>Does the SWMP address the new receiving waterway?</p> <p>Yes No</p>	<p>System map reflect the new receiving waterway?</p> <p>Yes No</p>
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<p>Follow-up actions and schedule to address new receiving waterways</p>	
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<p>Is the Organizational Chart still valid?</p> <p>Yes No</p>	<p>If not, follow-up actions and schedule required</p>
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<p>Has the primary representative changed?</p> <p>Yes No</p>	<p>If yes, corrective actions required</p>
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<p>Are the roles and responsibilities still valid and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
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Section 400 (Sharing Responsibility)

<p>Is the partners list still valid and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
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<p>Are the agreements or similar with partners current?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
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<p>Do the agreements include language matching roles and responsibilities (Sect. 300-7)?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
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<p>Are there any new partners for inclusion into the SWMP?</p> <p>Yes No</p>	<p>If yes, reasoning and follow-up actions</p>
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<p>Roles and responsibilities of the new partner</p>
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<p>Have any current partners failed to fulfill a role?</p> <p>Yes No</p>	<p>If yes, corrective or follow-up actions required</p>
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Section 500 (Body of SWMP)

Is the Pollutants of Concern list current and valid? Yes No	If no, corrective action and schedule	
Is the priority pollutant(s) listed still valid? Yes No	If no, list new priority and reasoning for change and selection	
Follow-up actions for new priority pollutant		
Have any discharges included a Pollutant of Concern? Yes No	Location(s)	Cause or contribute to exceedance of WQ standards? Yes No
Rationale for exceedance of WQ standards determination		

Descriptions of primary SWMP activities current and valid? Yes No	If no, corrective action required	
Do any descriptions need to be changed or removed? Yes No	If yes, rationale and follow-up activities	
Do any activities and/or processes need to be added? Yes No	If yes, rationale and follow-up activities	

<p>Is the SWMP schedule up to date and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Was the SWMP schedule followed during permit year?</p> <p>Yes No</p>	<p>If no, reasoning and follow-up actions</p>
<p>Does the SWMP require changes to allow the schedule to be followed?</p> <p>Yes No</p>	<p>If yes, required actions</p>
<p>Should any activities be added or removed from the schedule?</p> <p>Yes No</p>	<p>If yes, rationale and follow-up actions</p>

<p>Is the process for the annual SWMP review and assessment still accurate and valid?</p> <p>Yes No</p>	<p>If no, changes required and reasoning</p>
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<p>Will the SWMP require renewal in next permit year?</p> <p>Yes No</p>	<p>If yes, actions and schedule required</p>
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<p>Has the budget been followed?</p> <p>Yes No</p>	<p>If no, reasoning</p>
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List primary considerations for next permit year budget

Section 600 (Discharge Monitoring Program)

Objectives of program still valid and accurate? Yes No	If no, follow-up actions and schedule
Reasoning for changes in objectives (if applicable)	

Selected sampling locations still accurate and valid? Yes No	If no, reasoning and follow-up actions
Are sampling parameters for each location still valid? Yes No	If no, reasoning and follow-up actions
Are new locations for sampling required? Yes No	If yes, locations and reasoning
Follow-up actions required for new locations	

Was the discharge monitoring schedule followed? Yes No	If no, reasoning and corrective actions
Does the discharge monitoring schedule need to be revised? Yes No	If yes, rationale and corrective actions

<p>Have the results of monitoring been evaluated?</p> <p>Yes No</p>	<p>If no, reasoning and corrective actions</p>
<p>Do the evaluations include a written summary?</p> <p>Yes No</p>	<p>If no, reasoning and corrective actions</p>
<p>Does each of the sampling activities and/or corresponding evaluations (reports) include the following:</p> <ul style="list-style-type: none"> date, location, and time of sampling name(s) of samplers date of sampling, analysis, and evaluation name(s) or entities performing analysis name(s) and/or entities performing evaluation Analytical techniques and/or methods used Results of analysis Changes to the SWMP that may be required Supporting rationale for current SWMP <p style="text-align: right;">Yes No</p>	
<p>Does the SWMP need to be modified as a result of any monitoring reports?</p> <p>Yes No</p>	<p>If yes, rationale and follow-up actions</p>
<p>Description of primary findings from a review of evaluations</p>	
<p>Are reductions required at any sampling locations?</p> <p>Yes No</p>	<p>If yes, describe</p>

Describe follow-up actions and schedule (including goal development) for incorporation of desired reductions into the SWMP (include influence on individual MCMs)

<p>Is the processes and procedures described in the Discharge Monitoring Program accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Are analyses described in the program aligned with procedures approved under 40 CFR 136?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the program accurately describe field activities for sample acquisition?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Was the QA/QC processes in the program followed?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>Do the evaluations reveal a change from previous year evaluations?</p> <p>Yes No</p>	<p>Describe</p>
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<p>Can the change be attributed to any activities or implementation of the SWMP?</p> <p>Yes No</p>	<p>Rationale</p>	
<p>Were these changes anticipated or desired?</p> <p>Yes No</p>	<p>Do any of the changes affect goals of the SWMP?</p> <p>Yes No</p>	<p>If yes, which goal(s)</p>
<p>Describe how the change will affect the SWMP overall</p>		

Section 700 (Reporting)

<p>Are the Reporting objectives still valid and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
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<p>Were the summary of activities planned for current permit year and described in the previous Annual Report achieved?</p> <p>Yes No</p>	<p>If no, reasoning</p>
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<p>Primary items to report for upcoming Annual Report</p>

Section 800 (Minimum Control Measures)

For MCM 1, is the PEOP up to date and accurate? Yes No	If no, corrective action and schedule
Does the PEOP outline the plan to inform individuals, entities, or households about reducing stormwater pollution? Yes No	If no, corrective action and schedule
If yes, was the plan followed for the permit year? Yes No	If no, reasoning and corrective action
Which goals of the SWMP did the plan support? If it does not, indicate reasoning why	
Does the PEOP outline a plan to inform groups or individuals about involvement in the SWMP? Yes No	If no, corrective action and schedule
If yes, was the plan followed for the permit year? Yes No	If no, reasoning and corrective action
Does the PEOP include a list of Target Audience Groups (TAGs)? Yes No	If no, corrective action and schedule
If yes, does the list include a reason why a TAG was selected? Yes No	If no, reasoning and corrective action

<p>Does the PEOP include a list of targeted pollutant sources?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, are the targeted sources tied to a goal of the SWMP or a listed Pollutant of Concern?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the list of targeted pollutant sources include corresponding activities?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the PEOP include relevant IDD&E considerations as required by the plan?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PEOP include an outreach strategy and methods to reach TAGs?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was the strategy implemented?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the PEOP outreach strategy include the number of people expected to be reached?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, were the number of people identified for the permit year reached?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>

<p>Does the PEOP identify the person or entity responsible to implement a component of the plan and BMPs</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, did they implement?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the PEOP describe measurable goals for the MCM?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, does the goal include a description of how it will be evaluated?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action <i>(note: goals should provide a measurable improvement in TAG knowledge and similar)</i></p>
<p>Do any of the goals of the PEOP require measurement at this time?</p> <p>Yes No</p>	<p>Describe</p>
<p>Describe if the goal (or interim milestone of the goal) of the PEOP has been achieved</p>	
<p>Do the goals provide the ability to measure effectiveness?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, has a measurement of the goal (or interim milestone) provided indication of effectiveness?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>

Rationale if the measurement has provided an indication of effectiveness or not

Is the TAG-Outreach Matrix up to date and ready to guide efforts for the upcoming year?

Yes No

If no, corrective action and schedule

Does the PEOP need to be modified for the upcoming permit year?

Yes No

If yes, activities and schedule

Do any goals of the PEOP need to be changed or removed?

Yes No

If yes, reasoning

Do any goals need to be added to the PEOP?

Yes No

If yes, description and follow-up actions

Does the list of TAGs need to be changed?

Yes No

If yes, reasoning and follow-up actions

Is the website up to date with SWMP and stormwater info?

Yes No

If no, corrective action and schedule

List and describe PEO focus for upcoming permit year

<p>For MCM 2, is the PIPP up to date and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PIPP outline the plan for the how the public is involved in NOI submission and SWMP development?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was the plan followed for the permit year?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the PIPP outline the plan for the how the public is involved in SWMP implementation</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was the plan followed for the permit year?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>List how the public was involved in SWMP implementation</p>	

<p>Does the PIPP outline the plan for involvement by the TAGs in SWMP implementation</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was the plan followed for the permit year?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>List how TAGs were involved in SWMP implementation</p>	
<p>Does the PIPP identify the person or entity responsible to implement a component of the plan and BMPs</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, did they implement?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the PIPP outline activities for involvement by the public in SWMP implementation</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was the public involved in any activities?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Have any activities been identified for the next permit year?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>If yes, have the activities been incorporated into the PIPP yet?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Which goals of the SWMP do the plans of the PIPP support? If none, indicate reasoning why</p>	
<p>Does the PIPP describe measurable goals for the MCM?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, does the goal include a description of how it will be evaluated?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action <i>(note: goals should provide a measurable improvement in the public's participation of SWMP implementation)</i></p>
<p>Do any of the goals of the PIPP require measurement at this time?</p> <p>Yes No</p>	<p>Describe</p>
<p>Describe if the goal (or interim milestone of the goal) of the PIPP has been achieved</p>	
<p>Do the goals provide the ability to measure effectiveness?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, has a measurement of the goal (or interim milestone) provided indication of effectiveness?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>

Rationale if the measurement has provided an indication of effectiveness or not

Does the PIPP need to be modified for the upcoming permit year? Yes No	If yes, activities and schedule
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Do any goals of the PIPP need to be changed or removed? Yes No	If yes, reasoning
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Do any goals need to be added to the PIPP? Yes No	If yes, description and follow-up actions
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Was the public meeting held regarding the SWMP? Yes No	If no, reasoning and corrective action
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If yes, did members of the public or TAGs attend? Yes No	If no, corrective action and reasoning
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List and describe PIPP focus for upcoming permit year

<p>For MCM 3, is the IDD&E Plan up to date and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the system map include locations of MS4 outfalls, other MS4 outfalls, private outfalls, and similar; and waterways?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the IDD&E Plan outline the process for updating the system map with outfall info?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the IDD&E Plan outline the mechanism that may need to be implemented to prohibit illicit discharges?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, did the plan require implementation for the permit year?</p> <p>Yes No</p>	<p>Describe the process that occurred</p>
<p>Does the IDD&E Plan outline the process to detect and address illicit discharges?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was the plan followed for the permit year?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>

<p>Does the plan include processes for dry weather screening?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was dry weather screening conducted this year?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Were illicit discharges detected in dry weather flows?</p> <p>Yes No</p>	<p>If yes, describe parameters</p>
<p>Are the parameters encountered included in PEOP outreach information?</p> <p>Yes No</p>	<p>If no, list parameters that will be injected into the PEOP.</p>
<p>Does the dry weather screening processes indicate which parameters are field tested and which are analyzed by a lab?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the dry weather screening processes provide QA/QC protocols?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the IDD&E Plan include processes and/or mechanisms to address on-site sewage disposal systems that flow into the MS4?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>Does the IDD&E plan include an enforcement plan and process?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was enforcement required during permit year?</p> <p>Yes No</p>	<p>If yes, describe current status of the case</p>
<p>Does the IDD&E Plan include procedures and processes for determining priority areas?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, are priority areas tied to SWMP goals and/or locations determined to be priority areas for discharge monitoring associated with Pollutants of Concern?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the IDD&E Plan include processes and procedures for tracing sources of illicit discharges?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, was tracing conducted during permit year?</p> <p>Yes No</p>	<p>If yes, describe findings</p>
<p>Does the IDD&E Plan include processes and procedures for removing a source of an illicit discharge?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>If yes, was a source identified and removed during the year?</p> <p>Yes No</p>	<p>If yes, describe findings</p>
<p>Has any follow-up been conducted for identified and/or removed illicit discharges that were encountered?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the IDD&E Plan identify the person or entity responsible to implement a component of the plan and BMPs</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, did they implement?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the IDD&E Plan include processes and plans to inform the public about the hazards of illegal discharges?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, is it tied to the PEOP and MCM 1?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Does the IDD&E Plan include processes and plans to inform employees about the hazards of illegal discharges?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>If yes, is it tied to the O&M Plan and MCM 6 (annual training)?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Which goals of the SWMP do the plans of the IDD&E Plan support? If none, indicate why</p>	
<p>Does the IDD&E Plan describe measurable goals for the MCM?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, does the goal include a description of how it will be evaluated?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action <i>(note: goals should provide a measurable reduction in the number of illicit discharges)</i></p>
<p>Do any of the goals of IDD&E require measurement at this time?</p> <p>Yes No</p>	<p>Describe</p>
<p>Describe if the goal (or interim milestone of the goal) of the IDD&E Plan has been achieved</p>	
<p>Do the goals provide the ability to measure effectiveness?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>If yes, has a measurement of the goal (or interim milestone) provided indication of effectiveness?</p> <p style="text-align: center;">Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Rationale if the measurement has provided an indication of effectiveness or not</p>	
<p>Does the IDD&E Plan need to be modified for the upcoming permit year?</p> <p style="text-align: center;">Yes No</p>	<p>If yes, activities and schedule</p>
<p>Do any goals for IDD&E need to be changed or removed?</p> <p style="text-align: center;">Yes No</p>	<p>If yes, reasoning</p>
<p>Do any goals need to be added to the IDD&E Plan?</p> <p style="text-align: center;">Yes No</p>	<p>If yes, description and follow-up actions</p>
<p>Does the website include information to report illicit discharges and/or illegal dumping?</p> <p style="text-align: center;">Yes No</p>	<p>If no, corrective action and schedule</p>

List and describe IDD&E Plan focus for upcoming permit year

For MCM 4, is the Construction Site Runoff Control Plan up to date and accurate? Yes No	If no, corrective action and schedule
Is the ordinance requiring E&S Controls still valid and active? Yes No	If no, corrective action and schedule
Does the MCM 4 Plan describe a plan to ensure compliance with the ordinance by sanctions and enforcement? Yes No	If no, corrective action and schedule
Were there any sanctions or enforcement actions during the previous permit year? Yes No	If yes, describe process and results

<p>Were the processes outlined in the MCM 4 Plan followed if sanctions and/or enforcement occurred?</p> <p>Yes No</p>	<p>If no, reasoning and follow-up actions</p>
<p>Does the MCM 4 Plan and/or ordinance outline requirements for operators to implement E&S Control BMPs and control waste at construction sites?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the MCM 4 Plan describe the procedures/processes to review site plans?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, do the procedures outline the process to incorporate considerations of water quality impacts?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Do the procedures include rationale for identifying sites for plan review?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, were the procedures followed during permit year for any sites?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>How many site plans were reviewed? Direction provided (if any)?</p>	

<p>Does the MCM 4 Plan describe the procedures/processes to receive and consider information submitted by the public?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Did the public submit any information during permit year?</p> <p>Yes No</p>	<p>If yes, describe each event</p>
<p>Did any information submitted by the public result in an inspection of a site?</p> <p>Yes No</p>	<p>If no, reasoning</p>
<p>If yes, describe process and results</p>	
<p>Did any information submitted by the public result in sanctions and/or enforcement?</p> <p>Yes No</p>	<p>If yes, describe each event</p>
<p>Does the MCM 4 Plan outline procedures for site inspections?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, were the procedures followed during permit year for any sites?</p> <p>Yes No</p>	<p>If no, reasoning</p>

<p>Do the procedures outline rationale for prioritization of sites for inspection(s)?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the MCM 4 Plan identify the person or entity responsible to implement a component of the plan and BMPs</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, did they implement?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Which goals of the SWMP do the plans of the MCM 4 Plan support? If none, indicate why</p>	
<p>Does the MCM 4 Plan describe measurable goals for the MCM?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, does the goal include a description of how it will be evaluated?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Do any of the goals of the MCM 4 Plan require measurement at this time?</p> <p>Yes No</p>	<p>Describe</p>

Describe if the goal (or interim milestone of the goal) of the MCM 4 Plan has been achieved

Do the goals provide the ability to measure effectiveness? Yes No	If no, corrective action and schedule
---	---------------------------------------

If yes, has a measurement of the goal (or interim milestone) provided indication of effectiveness? Yes No	If no, reasoning and corrective action
---	--

Rationale if the measurement has provided an indication of effectiveness or not

Does the MCM 4 Plan need to be modified for the upcoming permit year? Yes No	If yes, activities and schedule
--	---------------------------------

Do any goals need to change or be removed? Yes No	If yes, reasoning
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<p>Do any goals need to be added to the MCM 4 Plan?</p> <p>Yes No</p>	<p>If yes, description and follow-up actions</p>
<p>Were there any illicit discharges from a construction site to the regulated MS4 during the permit year?</p> <p>Yes No</p>	<p>If yes, description and follow-up actions</p>
<p>List and describe MCM 4 Plan focus for upcoming permit year</p>	

<p>For MCM 5, is the Post-Const. SW Management (PCSM) Plan up to date and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PCSM Plan outline areas of priority for focus by the program?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, were the areas focused on?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>

<p>Does the PCSM Plan outline how the program is tailored for the locale?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PCSM Plan describe the process to outline structural and non-structural BMPs for use?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, does the plan outline structural and non-structural BMPs that are acceptable?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Is the ordinance requiring PCSM Controls still valid and active?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PCSM Plan outline criteria for sizing stormwater BMPs?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PCSM Plan describe a plan to ensure compliance with the ordinance?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PCSM Plan outline processes to encourage and expand LID?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>Does the ordinance support the PCSM Plan to encourage LID?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PCSM Plan describe how the long-term O&M of BMPs will be ensured?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, were any new PCSM BMPs implemented during permit year and followed the process?</p> <p>Yes No</p>	<p>List new BMPs and process</p>
<p>If no, reasoning and corrective action needed</p>	
<p>Were any previously installed BMPs verified for maintenance activities?</p> <p>Yes No</p>	<p>If no, reasoning and follow-up</p>
<p>If yes, were any of the BMPs found deficient against required maintenance activities?</p> <p>Yes No</p>	<p>If yes, describe corrective action taken</p>
<p>Are the PCSM BMPs listed in an inventory?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>If yes, is the inventory up to date and accurate?</p> <p style="text-align: center;">Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the inventory of PCSM BMPs list the location of the BMPs?</p> <p style="text-align: center;">Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the inventory of PCSM BMPs indicate the responsible person or entity for maint?</p> <p style="text-align: center;">Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the PCSM Plan identify the person or entity responsible to implement a component of the plan and BMPs</p> <p style="text-align: center;">Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, did they implement?</p> <p style="text-align: center;">Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Which goals of the SWMP do the plans of the PCSM Plan support? If none, indicate why</p>	
<p>Does the PCSM Plan describe measurable goals for the MCM?</p> <p style="text-align: center;">Yes No</p>	<p>If no, corrective action and schedule</p>

<p>If yes, does the goal include a description of how it will be evaluated?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Do any of the goals of the PCSM Plan require measurement at this time?</p> <p>Yes No</p>	<p>Describe</p>
<p>Describe if the goal (or interim milestone of the goal) of the PCSM Plan has been achieved</p>	
<p>Do the goals provide the ability to measure effectiveness?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, has a measurement of the goal (or interim milestone) provided indication of effectiveness?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Rationale if the measurement has provided an indication of effectiveness or not</p>	

<p>Does the PCSM Plan need to be modified for the upcoming permit year?</p> <p>Yes No</p>	<p>If yes, activities and schedule</p>
<p>Do any goals need to change or be removed?</p> <p>Yes No</p>	<p>If yes, reasoning</p>
<p>Do any goals need to be added to the PCSM Plan?</p> <p>Yes No</p>	<p>If yes, description and follow-up actions</p>
<p>List and describe PCSM Plan focus for upcoming permit year</p>	

<p>For MCM 6, is the Operations and Maintenance (O&M) Plan up to date and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan outline operations impacted by the O&M Plan?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>Does the O&M Plan list any municipal-owned facilities that are subject to its own NPDES Permit (e.g. industrial)?</p> <p>Yes No</p>	<p>If no, corrective action and schedule (if applicable)</p>
<p>If yes, were the requirements associated with other permit followed?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan include an inventory of facilities and activities that have the potential of impacting stormwater runoff or non-stormwater pollution?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, is the inventory up to date and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan include processes for developing an employee training plan on an annual basis?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Was the annual employee training plan followed for the previous permit year?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>List any focus areas identified for next year's training plan</p>	

<p>Has the annual training plan been developed for next permit year?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Are any aspects of the employee training plan shared with the public?</p> <p>Yes No</p>	<p>If no, reasoning</p>
<p>Does the employee training plan include standing focus training associated with IDD&E?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan describe SOPs for activities?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, do the SOPs include water quality protection BMPs (controls) to prevent and/or reduce pollutants?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan describe schedules for activities?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan describe inspection procedures for SOPs and corresponding WQ BMPs?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>If yes, is an inspection checklist used for inspections?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan include a Spill Control & Response (or similar) plan component?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan include a Waste Management Plan (or similar) plan component?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, does the Waste Manage. Plan component describe the processes for proper waste disposal?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the O&M Plan identify the person or entity responsible to implement a component of the plan and BMPs</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, did they implement?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Which goals of the SWMP do the plans of the O&M Plan support? If none, indicate why</p>	

<p>Does the O&M Plan describe measurable goals for the MCM?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, does the goal include a description of how it will be evaluated?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Do any of the goals of the O&M Plan require measurement at this time?</p> <p>Yes No</p>	<p>Describe</p>
<p>Describe if the goal (or interim milestone of the goal) of the O&M Plan has been achieved</p>	
<p>Do the goals provide the ability to measure effectiveness?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, has a measurement of the goal (or interim milestone) provided indication of effectiveness?</p> <p>Yes No</p>	<p>If no, reasoning and corrective action</p>
<p>Rationale if the measurement has provided an indication of effectiveness or not</p>	

<p>Does the O&M Plan need to be modified for the upcoming permit year?</p> <p>Yes No</p>	<p>If yes, activities and schedule</p>
<p>Do any goals need to change or be removed?</p> <p>Yes No</p>	<p>If yes, reasoning</p>
<p>Do any goals need to be added to the O&M Plan?</p> <p>Yes No</p>	<p>If yes, description and follow-up actions</p>
<p>List and describe O&M Plan focus for upcoming permit year</p>	

Section 900 (PRPs and Coordination Plans)

<p>Are there any coordination plans established?</p> <p>Yes No</p>	<p>If no, reasoning</p>
<p>If yes, were the plans followed for the permit year?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>

<p>Are any new coordination plans required for the next permit year?</p> <p>Yes No</p>	<p>If yes, actions and schedule</p>
<p>Is the Impaired Waters Plan up to date and accurate?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the Impaired Waters Plan outline how discharges of pollutants will be controlled?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the Impaired Waters Plan outline how discharges will be ensured will not cause or contribute WQ exceedances?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>Does the Impaired Waters Plan outline measures and BMPs that will control discharges?</p> <p>Yes No</p>	<p>If no, corrective action and schedule</p>
<p>If yes, outline structural BMPs implemented during permit year</p>	
<p>If yes, outline non-structural BMPs on-going (previously implemented) and newly implemented</p>	

Any new structural and non-structrual BMPs for next permit year? Yes No	If yes, details
Is the CBPRP up to date and accurate? Yes No	If no, corrective action and schedule
Have the processes been followed in the CBPRP? Yes No	If no, reasoning
Is the PRP for PCM for pathogens up to date and accurate? Yes No	If no, corrective action and schedule
Have the processes been followed in the PRP for PCM for pathogens? Yes No	If no, reasoning